IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : Confirmation No.:

Applicant : KENNETH ROSE

Title : SCALABLE PREDICTIVE CODING METHOD AND APPARATUS

Filed

TC/A.U.

Examiner

Docket No. : ROS5313.01A2

Cust. No. : 8156

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22213-1450

FIRST PRELIMINARY AMENDMENT

Dear Sir:

Please amend the above-identified U.S. patent application filed herewith as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 5 of this paper.